STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

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IN THE MATTER OF:	Peter Francis Chase)	FILE NO. 1200432
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ORDER OF DISMISSAL

TO THE RESPONDENT: Peter I

Peter Francis Chase (Crd#:1161044)

165 Fuller Lane Winnetka, IL 60093

C/O Merrill Lynch, Pierce, Fenner & Smith Incorporated

Attn: Director of Compliance

222 Broadway NY3-222-16-06

New York, NY 10038

TO THE HEARING

OFFICER:

George Berbas

180 N. LaSalle, Suite 3700

Chicago, IL 60601

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on February 7, 2013 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on February 7, 2013 against the Respondent Chase in this matter is dismissed without prejudice.

Dated: This 25th day of February 2013

JESSE WHITE
Secretary of State

Maria A. Pavone
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Office of Secretary of State
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